
RENOVATION UNDERWRITING LTD AGENCY QUESTIONNAIRE

New Sub-Broker Approval
Questionnaire

The purpose of this form is to provide information to Renovation Underwriting Ltd to enable it to assess the suitability of a business partner wishing to do business with it. This is in line with the regulatory requirements of the Financial Services Authority and good business practice.

The information is solely for Renovation Underwriting Ltd internal purposes and will not be forwarded to any other unrelated parties. The answers given by the applicant must be accurate as at the date of completion, and not misleading.

Renovation Underwriting Ltd Ltd will perform whatever additional checks into the fitness and propriety of the applicant it considers appropriate. Once satisfied with the information and supporting documentation provided, Renovation Underwriting Ltd will forward a signed Terms of Business Agreement for counter-signature by the applicant.

Agency name:

Renovation Underwriting Ltd
Agency Questionnaire

Full Company Name		Company Registration Number	
Address		Domicile of Registration	
Phone Number		Central email address	
Website Address		Number of years trading	
Name of Regulatory Authority		Registration Number	
Listed on Stock Exchange		Part of a group of Companies	

Contacts:	Name	Tel:	Fax No:	Email:
Financial				
Processing				

Professional Indemnity Details (Please provide a copy of your certificate)		
Limits of Liability	Each & Every Loss	
	Aggregate	
	Deductible	
	Insurers	

External Auditors Name & Address (Please provide a copy of latest audited Report & Accounts)	
Names of Company Directors / Officers	
Details of Shareholders with over 10% shareholding	

Internal Controls	
Are client monies held in segregated accounts? If Not, please provide details:	
Please confirm Money Laundering Prevention procedures are in place. If not, please explain why not:	
Please confirm that your organisation is not, and has never been under investigation in connection with breaches of the FCA compliance regime. If you are, or have been under investigation, please provide full details:	
Please confirm that you have formal 'conflict of interest' procedures in place. If not, please explain why not:	
Please confirm that you check new clients against the Sanctions List.	
Please confirm whether or not you have a agency with Royal & Sun Alliance and, if so, please supply your agency reference.	Agency Reference:
Please advise how you became aware of Renovation Underwriting Ltd.	Covea Markham Private Clients Website Other (please specify)

Please provide copies of the following items of information (tick where attached)

Professional Indemnity Certificate

Annual Report and Accounts /
Latest Financial Statement

Details of Organisational Structure

Declaration

By signing this document you are declaring that all the information you have provided Renovation Underwriting Ltd in this questionnaire is complete and accurate to the best of your knowledge.

Name

Title

Company Name

Signature

Date
